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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

27076

7590

07/05/2002

DORSEY & WHITNEY LLP SUITE 3400 1420 FIFTH AVENUE SEATTLE, WA 98101 **EXAMINER** 

PEIKARI, BEHZAD

ART UNIT

CLASS-SUBCLASS

2186

711-167000

DATE MAILED: 07/05/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/798,227	02/11/1997	BRENT KEETH	660073.587	2230

TITLE OF INVENTION: MEMORY SYSTEM WITH DYNAMIC TIMING CORRECTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	10/08/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- □ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

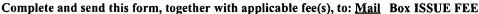
III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.





# PART B - FEE(S) TRANSMITTAL



**Commissioner for Patents** Washington, D.C. 20231

(703)746-4000 <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block I) 27076 07/05/2002

DORSEY & WHITNEY LLP **SUITE 3400** 1420 FIFTH AVENUE SEATTLE, WA 98101

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO. on the date indicated below.

transmitted to the CS1 10, on the date indicated below.

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nonprovisional	NO	\$1280	\$0	\$1280	10/08/2002
EXAM PEIKARI,		ART UNIT 2186	CLASS-SUBCLASS 711-167000		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2. For printing on the patent from the names of up to 3 registered or agents OR, alternatively, (2) single firm (having as a mem attorney or agent) and the name registered patent attorneys or agis listed, no name will be printed.	patent attorneys the name of a ber a registered mes of up to 2 ents. If no name	
3. ASSIGNEE NAME AN PLEASE NOTE: Unless been previously submittee (A) NAME OF ASSIGNI	an assignee is identified be it to the USPTO or is being s	low, no assignee data will submitted under separate c		of assignee data is only appropria NOT a substitute for filing an assi COUNTRY)	ate when an assignment has gnment.

Please check the appropriate assignee category or categories (will not	t be printed on the patent)
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):
☐ Issue Fee	☐ A check in the amount of the fee(s) is enclosed.
☐ Publication Fee	☐ Payment by credit card. Form PTO-2038 is attached.
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).
Commissioner for Patents is requested to apply the Issue Fee and Pub	olication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
(Authorized Signature) (Date)	
NOTE; The Issue Fee and Publication Fee (if required) will not other than the applicant; a registered attorney or agent; or the a interest as shown by the records of the United States Patent and Trac	assignee or other party in I
This collection of information is required by 37 CFR 1.311. The obtain or retain a benefit by the public which is to file (and by the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CI estimated to take 12 minutes to complete, including gathering, preparameted application form to the USPTO. Time will vary deper case. Any comments on the amount of time you require to conguer to the complete of the complete patent and Trademark Office, U.S. Department of Commerce, Was NOT SEND FEES OR COMPLETED FORMS TO THIS Commissioner for Patents Washington DC 2021	the USPTO to process) an IFR 1.14. This collection is paring, and submitting the nding upon the individual omplete this form and/or Information Officer, U.S.

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08/798,227 02/11/1997		BRENT KEETH	660073.587	2230
27076 7590 07/05/2002			EXAMINER	
DORSEY & WHITNEY LLP		PEIKARI, BEHZAD		
SUITE 3400 1420 FIFTH AVEN	<b>IUE</b>		ART UNIT	PAPER NUMBER
SEATTLE, WA 98101 UNITED STATES			2186	
			DATE MAILED: 07/05/2002	

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 854 days. Any patent to issue from the above identified application will include an indication of the 854 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/798,227	02/11/1997	BRENT KEETH	660073.587	2230
27076 7	590 07/05/2002		EXAMIN	ER
DORSEY & WH	IITNEY LLP		PEIKARI, BI	EHZAD
SUITE 3400 1420 FIFTH AVE	NUE		ART UNIT	PAPER NUMBER
SEATTLE, WA 99 UNITED STATES			2186	
UNITED STATES	•	DAT	TE MAIL ED: 07/05/2002	

# Notice of Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: <a href="http://www.uspto.gov/main/howtofees.htm">http://www.uspto.gov/main/howtofees.htm</a>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2002, 37 CFR 1.18 is proposed to be revised to change the patent issue fees as set forth below. As stated above, the final fees may be a different amount, and applicant should check the web site given above when paying the fee.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))--\$655.00 By other than a small entity--\$1,310.00

(b) Issue fee for issuing a design patent:

By a small entity (Sec. 1.27(a))--\$235.00 By other than a small entity--\$470.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))--\$315.00 By other than a small entity--\$630.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Page 4 of 4

Application No.

Applicant(s)

08/798,227

B. James Peikari

Examiner

Art Unit 2186

Keeth



-The MAILING DATE of this communication appears on the cover sheet with the correspondence address-

Notice of Allowability

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. 1. X This communication is responsive to <u>the decision by the Board of Appeals and Interferences</u> 2. X The allowed claim(s) is/are 1-24 3. The drawings filed on \_\_\_\_\_ are accepted by the Examiner. 4. Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a) 🗌 Ail b) Some\* None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. 
Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \*Certified copies not received: 5. Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). (a) The translation of the foreign language provisional application has been received. 6. Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 8. X CORRECTED DRAWINGS must be submitted. (a) X including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) ☐ hereto or 2) Xto Paper No. 5. (b) ☐ including changes required by the proposed drawing correction filed , which has been approved by the examiner. (c) X including changes required by the attached Examiner's Amendment/Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson. 9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1 Notice of References Cited (PTO-892) 2 Notice of Informal Patent Application (PTO-152) 3 Notice of Draftsperson's Patent Drawing Review (PTO-948) 4 Interview Summary (PTO-413), Paper No. \_\_\_ 6 X Examiner's Amendment/Comment 5 X Information Disclosure Statement(s) (PTO-1449), Paper No(s). 8 🔀 Examiner's Statement of Reasons for Allowance 7 Examiner's Comment Regarding Requirement for Deposit of Biological Material 9 Other

Application/Control Number: 08/798,227

Art Unit: 2186

# Page 2 7-5-03

# **EXAMINER'S AMENDMENT**

- 1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 2. The application has been amended as follows:

  On page 5, line 13, "5,920,518" has replaced "\_\_\_\_\_\_"
- 3. The application having been allowed, formal drawings are required in response to this Office Action.

The following changes to the drawings are required: the boxes in Figure 6 should be labelled (i.e., "computer system", "memory controller", "processor", etc., as appropriate) In order to avoid abandonment of the application, applicant must make these above agreed upon drawing changes.

Application/Control Number: 08/798,227 Page 3

Art Unit: 2186

4. The following is an examiner's statement of reasons for allowance:

Although not specifically mentioned by the Board of Patent Appeals and Interferences, it is noted that the present invention, although producing a similar result, differs in design from the Johnson et al. system for the following reasons:

(1) In Johnson et al., the initial output timing is controlled by system clock circuit 202, which sends a timing signal to the memory devices 300 via driver 308. This system clock is never adjusted. Thus, there is no "revising the initial output timing at the memory device" as claimed.

The memory output timing is adjusted (revised) only *after* it has entered latch 322 in the memory controller.

(2) The phase difference in Johnson et al. is not measured by comparing the "received digital signal" (which is sent from the memory device to the controller along bus 320) to a reference signal; rather, it is *another* signal (disclosed column 6, lines 33-34, which state, "The mux driver 312 receives a signal indicative of the memory loading") which causes the appropriate (adjusted) timing reference signal to be selected from among a plurality adjusted signals.

In other words, the "digital signal" received from memory is not the same signal which causes the selection and transmission of the adjusted timing signal, as claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."



Art Unit: 2186

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to James Peikari whose telephone number is (703) 305-3824.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Kim, can be reached at (703) 305-3821.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 746-7239 (Official communications)

or:

(703) 746-7240 (for Informal or Draft communications)

or:

(703) 746-7238 (for After-Final communications)

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA., Sixth Floor (Receptionist).

BEHZAD JAMES PEIKARI PRIMARY EXAMMER June 22, 2002